# DEM & TIN

**Two common types** of input data for terrain mapping and analysis are

Raster based DEM

Vector based TIN

- We cannot use both together
- DEM and TIN are inter-convertible



# **DEM- Characteristics**

- > A regular array of elevation points
- Sources of DEM Satellite images, radar or LIDAR data
- Point based DEM is to be converted to elevation raster before being input to terrain mapping and analysis
- Conversion is done by placing each elevation point at the center of the cell
- Quality of DEM influences the accuracy of terrain measures such as slope and aspect.



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# **DEM- Characteristics**

- Described by three elements: Block, Profile and Elevation Point
- Block: Describes the physical extent of DEM...also c/a a tile
- May be tied to a particular topographic map series but may not always necessarily cover the same geographical extent
- Profile is a linear array of sampled elevation points. Spacing between the profiles represents one dimension of the spatial resolution of the DEM



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### Elevation points: Three types Corner points, First points along a profile and Regular points



Coordinates are stored only for First points and corner points. These coordinates are used to tie DEM Block to an accepted georeferencing system. They are also used to calculate the spacing of profiles and coordinates of regular elevation points

- A DEM is usually referenced to either Geographic coordinate system (lat./ long) or the UTM (easting/ northing) Coordinate system
- When georeferenced to Geog. Lat/long, spacing of profiles is expressed in terms of arc seconds or arc minutes
- One arc second is equal to approx. 30 m of linear measurement
- When geo-referenced to UTM coordinate system, spacing of profiles is expressed in meters



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## **UTM Zones**

- World is divided into 60 zones.
- Each zone is  $6^{\circ}$  of longitude wide.
- > Zones are numbered 1 to 60, starting at
  - 180° and progressing to the east.



## **UTM Zones**



# UTM Zone Details





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# Some freely available DEM data

### In United States

### Nodal Agency – USGS (United States Geological Survey) Products

DEM	Spatial Res.	Coverage	Available at
ETOP05	5 x 5 arc minutes	Whole world	www.ngdc.noaa.gov
GTOP030	30 x 30 arc seconds	Whole world	USGS EROS data center
SRTM	3 x 3 arc second	Whole world (60° n to 56 ° S)	edc.usgs.gov

#### In India

Nodal Agency - NRSC, ISRO Freely available at www.bhuvan.nrsc.gov.in Spatial resolution 30 m, Coverage- India Coordinate System - GCS, Height units - meters Tile extent - 7.5' x 7.5 '



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## **Some snapshots of CartoDEM**

Source: CartoDEM Brochure, https://bhuvanapp3.nrsc.gov.in/data/download/tools/document/cartodem\_bro\_final.pdf

A. Cartosat-1 ortho-image fused with LISS-IV draped over CartoDEM B. Corresponding field photo





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DEM and ortho-image depict parabolic sand dune complex in Thar desert of Rajasthan. Minute variations in height of sand dunes is captured by CartoDEM in desertic terrain.



#### CARTODEM Applications







The simulated flooded area using CartoDEM (left) and corresponding actural flood inundated area, in dark tone, in a micro-wave image (right) of Mahanadi river basin demonstrates the potential of CartoDEM for identifying flood depth.

## TRIANGULAR IRREGULAR NETWORK - TIN

- A TIN is a vector based
  - representation of the surface
- Terrain is recorded as a continuous surface made up of mosaic of non-overlapping triangular facets.
- Triangles are formed by connecting selectively sampled elevation points using a consistent method of triangle construction.



## TRIANGULAR IRREGULAR NETWORK - TIN

- The triangular facets are planar defined by three edges. Each edge is bounded by two vertices (also c/a nodes)
- The edges depict linear terrain features (e.g. breaks, ridges, stream channels) while vertices describe nodal topographic features (e.g. peaks, pits etc.)
- Elevation values (z-values) along with x-, y- coordinates are stored at nodes that make up the triangles.



Image credit: google images

## TIN Vs DEM (Structure)

- Contrary to DEM, TIN is based on irregular distribution of elevation points. Sampling density can be varied as a function of nature of topography – more samples in areas with more variable elevations and fewer samples in relatively flatter areas
- Each sample point in TIN has x,y coordinate and elevation (z) value. Location of regular points is implicit in DEM



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## **TIN-** Construction

- Most commonly used method of creating network of triangles from sampled elevation points for a TIN is Delaunay triangulation
- The geographic space is divided into Thiessen polygons (also c/a Voronoi polygons/ proximal regions/ nearest neighbourhood regions)
- > Two vertices are connected if their Theissen Polygons share an edge.
- Empty circle criterion is used as a mechanism to automatically construct TIN from the sample points
- Delaunay triangulation may be extended to include breaklines c/a constrained
   Delaunay Triangulation



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## SOURCES OF ELEVATION DATA FOR TIN

#### Point data

- DEM Usually primary data source trough conversion process
- Surveyed elevation points
- ► GPS data
- LIDAR data

Line data - Contour lines and Break lines (Break lines are line features that represent changes of the land surface such as streams, shorelines, ridges, roads etc.)



# **Creating TIN from DEM**

- Selected Elevation points plus additional elevation points from contour lines, survey data, GPS/LIDAR data are connected to form a series of non-overlapping trainagles – the initial TIN
- Common algorithm used is DELAUNAY TRIANGULATION
- Triangles formed by Delaunay Triangulation have

All nodes connected to nearest neighbours to form triangles

Triangles are equi-angular or compact as possible



- MESH SIMPLIFICATION- Process by which TIN model is constructed from DEM data
- Every point in DEM is not used to create a TIN
- Points which are more important in representing the terrain are selected
- Objective is to form a TIN with minimum number of points while at the same time preserving the maximum amount of information about the terrain structure
- Algorithms used for selecting significant points are

VIP (Very Important Points)

Maximum z- tolerance



Image credit: researchgate.net

## **MESH SIMPLIFICATION**

#### Different algorithms may be quite different

The following iterative process comprising two phases is common to all

1. Determine the surface significance of elevation points in the DEM data by using the spatial relationships between an individual point and its neighbors. An elevation point is considered 'important' or 'surface specific' when its elevation cannot be closely interpolated using values of its neighbors

2. Establish the rules and tolerances to terminate the point selection process.

These rules may be quantitative criterion (when no. of points reaches a pre-determined number)

Or qualitative criterion (when there is no point whose computed importance exceeds a predefined level, such as median or maximum errors)

## VERY IMPORTANT POINTS ALGORITHM (VIP)

- 1. Used by ArcGIS
- 2. First converts DEM into a raster
- 3. Then evaluates the importance of each point (cell) by measuring how well its value can be estimated from neighboring values (P) in a 3 by 3 moving window
- 4. Calculates *s* for each of four pairs
- Averages the four s values the average is considered the significance of P
- 6. S for each cell is computed
- 7. Desired number or points with specified significance are selected
  – VIP



## Maximum *z*- tolerance Algorithm

- 1. An iterative process
- 2. Begins by constructing a candidate TIN
- 3. For each triangle in the TIN, computes elevation difference from each point in the raster to the enclosing triangular facet
- 4. Determines the point with the largest difference
- 5. If difference is greater than a specified *z* tolerence, the algorithm flags the point for addition to the TIN
- 6. After very triangle in the initial TIN is checked, a new triangulation is computed with the additional flagged points
- 7. Process continues until all points in the raster are within the specified maximum tolerance

### **Maximum** *z***- tolerance Algorithm**



TIN is constructed such that for every point in the elevation raster, the difference between the original elevation and the estimated elevation from the TIN is within the maximum ztolerance

# **BREAK LINES**

Breaklines are line features that represent changes of the land surface such as streams, shorelines, ridges and roads

Breaklines may be included in the initial TIN or used to modify the initial TIN





The dashed line in (a) represents a breakline, which subdivides the triangles in (a) into series of smaller triangles in (b)

- Breaklines provide the physical structure in form of triangle edges to show change of land surface
- If the z-values for each point of a break line are known, they can be stored in a field
- If not, they can be estimated from the underlying DEM or TIN surface



## Example of influence of breakline on TIN data quality





### Advantages of TIN

- Flexibility with input data sources

   can use inputs from DEM,
   contour lines, LIDAR, GPS survey
   data....
- 2. Elevation points and break lines can be added at precise locations to define surface discontinuities
- 3. Excellent data model for terrain mapping and 3-D display
- 4. Triangular facets better define the land surface and create a sharper image

#### DEM

- Modelling accuracy of DEM is constrained by its resolution – fixed cell size
- 2. Increasing resolution is a costly affair
- 3. DEM cannot indicate a stream in hilly area and the accompanying topographic characteristics if the stream width is smaller than its resolution
- 4. New sample points cannot be added to increase surface accuracy

#### Advantages of TIN

- 1. Less data storage requirements
- 2. No redundancy in data storage- all vertices and edges stored only once in georelational data model.
- 3. Since TIN data are based on the topological arc-node structure of the geo-relational data model, they may be applied relatively easily to vector based geo-processing such as automated contouring, 3D landscape visualization, site visibility studies, volumetric and cut and fill computations in engineering design ....



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TIN can accurately describe complex terrain surfaces with far smaller number of elevation points

#### Advantages of DEM

- 1. Computational efficiency
- 2. Simple data structure
- Easy, fast and efficient
   neighbourhood operations computation of derivatives viz.
   slope, aspect, curvature, relative
   radiance etc.

#### TIN

- Computational load of a TIN increases significantly as number of triangles increases
- For some terrain analysis
   operations GIS packages convert
   TIN to DEM prior to analysis



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## DEM or TIN ????

Which data model is more accurate??? Answer depends on how it has been created.

- If a TIN has been made by sampling a DEM, it will be less accurate than the full DEM
- 2. If DEM has been interpolated from TIN, it is less accurate than TIN
- 3. Studies have suggested TINs inferior to DEM for terrain modelling
- 4. DEM is more suitable for overlay operations
- 5. TIN are more efficient for 3D Perspective viewing


# TERRAIN MAPPING AND ANALYSIS



# Lecture outline

- Terrain Visualization
- Terrain mapping methods Derivatives of DEM/TIN
- Water Shed Analysis
- Viewshed Analysis



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#### **Terrain Visualization**

- Ultimate aim of a DTM is to present relevant terrain information about a given geographic space that results from an analysis of its topography and related spatial phenomenon
- Visualization serves two primary purposes:
  - 1. To communicate geographic information
  - To provide a means for data exploration and hypothesis refinement
- Various methods (on basis of dimension of graphical display)
  - 1. Two D
  - 2. Two- and-a-half D
  - 3. 3D
  - Multidimensional- fly through, animations to visualize
    change over time

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### 2D- Shaded relief



**Contour lines** are the conventional quantitative method of 2D visualization

However they are difficult to comprehend by inexperienced map users

Hill shading is a qualitative method but creates a good impression of the topographic form

Numerical elevation values cannot be measured from the display

# **Two-and-a half D Visualization**

Ζ

X

- Z attribute associated with an x,y location is projected onto an x,y,z coordinate reference system
- Creates a map of Z attribute for an x,y position such that each z- attribute defines a position on the z-axis, creating a surface that is perceived as 3 dimensional
- C/a 2.5 D because does not create the impression of visual depth (i.e. distant objects are smaller than closer ones)
- May be used with other kinds of images that are draped on them to create a more realistic perspective view of the terrain

### **2.5 D Terrain visualization**



#### 2.5 D Visualization: Sawai Madhopur District



# **Perspective view**

- ▶ 3-D views of the terrain
- The terrain has same appearance as it would have if viewed at an angle from airplane
- **Four parameters** control appearance of 3D View
  - Viewing azimuth Direction of observer to the surface (0 to 360 degree in clockwise direction)
  - Viewing angle angle from horizon to altitute of observer (0 to 90 degree) 3D effect reaches its maximum as angle approaches 0 degree i.e. horizon
  - 3. Viewing distance distance between viewer and surface
  - 4. Z-scale vertical exaggeration factor (ratio between vertical scale and horizontal scale-useful for highlighting minor landform features)



### 3-D Draping

- Perspective view can be made more realistic by superimposing the view by layers such as hydrographic features, land cover, vegetation, roads etc.
- GIS users can rotate the surface, navigate the surface, or take a close-up view of the surface.



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#### **3 D Visualization**

• An analog for the physical space in nature as perceived by the observer



#### Extraction Of Topographic Attributes And Landscape Features

- Topographic attributes are numerical descriptions of the terrain
- May be classified as **Primary** and **Secondary** attributes
- Primary topographic attributes are those geomorphic parameters that may be directly calculated from digital terrain such as elevation and slope.
- Secondary attributes also c/a Compound attributes are those which are formed by combining the primary attributes with other environmental indices that characterize the spatial variability of specific processes occurring in the landscape (e.g. soil water content distribution)



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#### Primary Topographic Attributes

The following PA s can be easily estimated from DEM data using automated methods

- Elevation
- Slope
- Aspect
- Profile Curvature
- Plan curvature
- Flow path length
- Local relief
- Drainage density....

#### **SRTM DEM of Karauli Distt - ILLWIS**



## **Elevation Values**



#### Elevation Values....



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#### Landscape features

- The extraction of topographic attributes and geo-morphometric parameters is usually an intermediate process in digital terrain modelling.
- Data obtained are used more as input for further terrain modelling rather than an end product
- Most of the terrain features extracted are associated with drainage and hydrological applications
- Three primary types of landscape features are extracted:
  - Surface specific points

Linear or network features

Areal features

# Landscape Feature Extraction for drainage and hydrological applications

#### Surface specific Point Features

- Peaks
- Pits
- Passes
- Saddles

Algorithms are based on comparison of elevation differences in local neighbourhood (local relief)

In DEM peaks are represented by local elevation maxima

Pits, passes and saddles are represented by local elevation minima

Linear or Network Features/ Area features

- Flow direction map
- Flow accumulation map
- Drainage network
- Drainage network ordering
- Catchment area delineation



# Slope

- Measure of rate of change in elevation Steepness, Gradient of a unit of terrain
- Measures maximum rate of change across the surface, from cell to cell in a gridded surface
- Every cell in an output grid has a slope value
- The lower the slope value, the flatter the terrain; the higher the slope value, the steeper the terrain
- Slope is often calculated as either percent slope or degree of slope
- Slope along with Aspect used in applications such as site selection models to find areas suitable for development, forest inventory estimates, hydrological modeling, soil loss modeling, habitat suitability, landform classification, morphometric characterization..

## Percent slope



- Divide the difference
  between the
  elevations of two
  points (RISE) by the
  distance between
  them (RUN)
- Multiply the quotient by 100.
- Thus, percent slope
  equals (rise / run) x
  100.

### Slope in Degrees/ Slope angle



= arctan (rise / run) = arctan (100 ÷ 100) = 45



If you visualize rise and

run as sides of a right

triangle, then the degree

of slope is the angle

opposite the rise.

Since degree of slope is

equal to the tangent of

the fraction rise/run, it

can be calculated as the

arctangent of rise/run.





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#### Aspect

- Aspect is the directional measure of slope
- identifies the steepest down slope across a surface
- It is measured clockwise in degrees from 0 (due north) to 360 (again due north, coming full circle).
- The value of each location in an aspect dataset indicates the direction the surface slope faces.
- The aspect of a hillside says a lot about what can grow or live somewhere because it determines how much solar energy it receives. This is useful information when planning of development or recreation sites, and also agriculture and forestry depending on the latitude and climate.

#### Aspect

- Being circular measure an aspect of 10° is closer to 360° than 30°
- Users often have to transform aspect measures for use in data analysis.
- Common method is to classify aspects in four principal or eight principal directions and to treat like categorical data



#### Figure 12.11

Aspect is a directional measure in degrees. Aspect measures are often grouped into 4 principal directions (top) or 8 principal directions (bottom).

#### Aspect



#### Figure 12.12

Transformation methods to capture the N-S direction (a), the NE-SW direction (b), the E-W direction (c), and the NW-SE direction (d).



Chang & Li have proposed a method of capturing the principal direction while retaining the numeric measure. For eg. To capture N-S principal direction, 0° is set at north, 180° at south, 90° at both east and west.



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#### How does GIS do it ????

- GIS does not compute slope and aspect for each point. But for discrete units such as cells of elevation raster or triangles of a TIN.
- For the areal unit (cell or triangle) slope and aspect are measured by the quantity and direction of tilt of the units normal vector - a directed line perpendicular to the unit
- Given a normal vector (n<sub>x</sub>, n<sub>y</sub>, n<sub>z</sub>), the formula for computing the unit's slope (s) and aspect (A) is



$$s = (n_x^2 + n_y^2)^{0.5} / n_z$$
$$A = \arctan(n_y / n_x)$$

#### Approximation methods – DEM

Several algorithms have been developed to calculate slope (percent slope and -- degree of slope) from ELEVATION RASTER

#### Ritter's Algorithm

- Use 3x3 moving windows
- The slope of the center cell can be estimated by the four immediate members. See Figure 12.14

$$S = \left( (e_1 - e_3)^2 + (e_4 - e_2)^2 \right)^{0.5} / 2d$$
$$D = \arctan\left( (e_4 - e_2) / (e_1 - e_3) \right)$$



- S is slope gradient if multiply S by 100, the unit is in percent slope.
- D is measured in radian with respect to xaxis, can be converted into degree from a north base of 0<sup>0</sup> using algorithm (see text in Chang<sup>1</sup>

# HORN'S ALGORITHM

- Simplest and most common is neighbourhood method (Horn's algorithm). The method is used in ArcGIS
- Neighbourhood method calculates the slope at one grid point by comparing the elevations of eight grid points surrounding it

$\mathbf{z}_1$	$\mathbf{z}_2$	$\mathbf{Z}_3$
$\mathbf{Z}_4$	$\mathbf{z}_5$	$\mathbf{z}_6$
<b>Z</b> <sub>7</sub>	$Z_8$	$\mathbf{Z}_9$

Figure 7.10.3 The neighborhood algorithm estimates percent slope in cell 5 by comparing the elevations of neighboring grid cells.

Source: https://www.e-education.psu.edu/natureofgeoinfo/book

# Steps



Figure 7.10.3 The neighborhood algorithm estimates percent slope in cell 5 by comparing the elevations of neighboring grid cells.

Percent slope at grid cell 5 is the sum of absolute values of E-W slope (S e-w) and N-S slope(S n-s) multiplying the sum by 100

$$S_5 = (\sqrt{S_{e-w}^2 + S_{n-s}^2}) \times 100$$

$$S_5 = (\sqrt{S_{e-w}^2 + S_{n-s}^2}) \times 100$$





- 1. 3x 3 grid is identified around each cell
- 2. E-W slope is the difference between the sums of elevations of the first and third columns of the 3x3 matrix
- 3. N-S slope is the difference between the sums of the elevations in the first and the third rows
- 4. In both cases, middle value is weighted by a factor of 2
- 5. Percent slope is calculated for every cell in the elevation grid
- 6. Percent slope can be converted into degrees

# Factors influencing accuracy of slope and aspect

- Resolution of DEM: Accuracy of slope and aspect estimates decreases with decreasing DEM resolution.
- Quality of DEM Quality of DEM depends on software package, quality of input data including GCPs
- Computing algorithm Accuracy of output of algorithms varies with topography
- Local topography Errors in slope estimates tend to be higher in areas of higher slopes. Errors in aspect estimates tend to be greater in areas of lower relief

# SURFACE CURVATURE

- Rate of change of Slope and/or
  Aspect
- Is the surface at a cell location upwardly concave or convex?
- Very significant input in hydrological applications, erosion modelling...
- Curvature values can be used to find distribution of water on land



# SURFACE CURVATURE

- Three measures
  - Profile curvature : Estimated along direction of maximum slope. Affects acceleration or deceleration of flow hence influences erosion or deposition
  - Plan curvature : Estimated across the direction of maxm. Slope. Influences convergence or divergence of flow
  - Curvature : Difference between the two (profile curvature – plan curvature)



Choose the curvature type which best suits the application and visualization you desire

#### Profile curvature



#### Plan curvature






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Common algorithm to estimate curvature from an elevation raster is to fit a 3x3 window with a quadratic polynomial equation

z <sub>1</sub>	Z2	Z3
Z4	z <sub>0</sub>	Z5
z <sub>6</sub>	Z7	Z <sub>8</sub>
		+ (+

 $D = [(Z_4 + Z_5)/2 - Z_0] / C^2$   $E = [(Z_2 + Z_7)/2 - Z_0] / C^2$   $F = (Z_3 - Z_1 + Z_6 - Z_8) / 4C^2$   $G = (Z_5 - Z_4) / 2C$   $H = (Z_2 - Z_7) / 2C$ 



plan curvature 2 ( DH<sup>2</sup> + EG<sup>2</sup> - FGH ) G<sup>2</sup> + H<sup>2</sup>

profile curvature  $\frac{-2 (DG^{2} + EH^{2} + FGH)}{G^{2} + H^{2}}$ Curvature = -2 (D+E)

#### PROFILE CURVATURE

Rate of change of slope relative to a linear or flat profile

- Negative curvature indicates the surface is upwardly convex at that cell and flow will be decelerated
- Positive curvature indicates the surface is upwardly concave at that cell and flow will be accelerated
- A value of 0 indicates the surface is flat (linear)



### PLAN (PLANFORM) CURVATURE

Rate of change of aspect relative to a given datum Perpendicular to the direction of maximum slope

- Positive curvature indicates the surface is upwardly convex at that cell ... convex planform such as spurs and ridges
- Negative curvature indicates the surface is upwardly concave at that cell ... concave planform such as troughs and valleys
- ▶ A value of 0 indicates the surface is flat



#### STANDARD CURVATURE

Combines both profile and plan curvatures

- Positive curvature indicates the surface is upwardly convex at that cell
- Negative curvature indicates the surface is upwardly concave at that cell
- A value of 0 indicates the surface is flat

Image source: <u>https://desktop.arcgis.com/</u>

#### STANDARD CURVATURE

Image source:

The columns show the planform curves and the rows show the profile curve. The planform columns are positive, negative, and 0—going from left to right. The profiles curves are negative, positive, and 0going from top to bottom.

https://desktop.arcgis.com/

#### STANDARD CURVATURE

Considering both together allows you to understand the flow across a surface more accurately

	Convex	Straight	Concave
Convex (X)	Dissipation	Transit	Transit
	zone	zone	zone
Straight (G)	Transit	Transit	Transit
	zone	zone	zone
Concave (V)	Transit zone	Transit zone	Accumulation

Hydroforms classified on the basis of profile and plan curvature (after Florinsky 2000).

Image source: <u>https://www.researchgate.net/publication/273481152</u> (Elmahdy et. al (2015)

Slope concave curvature can be calculated in plan or profile or a combination of both









planar











concave

planar

convex

Profile curvature (along slope)

### LETS MAP UDAIPUR DISTRICT

SRTM DEM 30 meters overlain on hill shade map









# **SLOPE (PERCENT**





### ASPECT



### CURVATURE



#### Input raster

Udpr\_Dist\_DEM\_UTM2.tif

Output curvature raster

E:\Lectures\Lectures M.Sc\_PGD\GIS & Cartography\Wew Syllabus\_2016\Exercises & Tutorials\Terrain\_analysis\Te

Z factor (optional)

Output profile curve raster (optional)

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Output plan curve raster (optional)

E:\Lectures\Lectures M.Sc\_PGD\GIS & Cartography\Wew Syllabus\_2016\Exercises & Tutorials\Terrain\_analysis\Te



0

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1

B

P3

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### THE QGIS INTERFACE - Version 3.6.0

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Q. Search	Elevation layer	
> ( Recently used	Udpr_Dem_Girwa [EPSG:32643]	×
Cartography	Z factor	
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> Q Database	Aspect	
> Q File tools	[Save to temporary file]	
> 🔇 Graphics	✓] Open output file after running algorithm	
> Q Interpolation		
> 🔇 Layer tools		
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> Q Raster analysis		
✓ Q Raster terrain analysis		
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🧭 Slope		
> 🔇 Raster tools		
> 🔇 Vector analysis		
> Q Vector creation		
> 🔇 Vector general		

# APPLICATION

- Knowledge of slope curvature is important for studies of slope forming processes and hydrology as it controls mass movement and run off, and flow rates
- Combination of plan and profile curvature can be used to identify and extract features from a DTM such as peaks, passes, ridges and troughs
- It is an important tool for geomorphologists to understand landscape form and processes